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Welcome...

Fellow Chapter Members:

I hope that you had a great holiday season and were able to spend time with friends and family.

We are half way through our 2011-2012 chapter year and I would like to thank you all for the support you have shown our chapter by attending our monthly meetings, our fall seminar, and also being a member of our chapter. As we begin the remainder of our chapter year, I hope that you take advantage of the training opportunities that we offer. Jesse Hanford (jesse.hanford@convergys.com), our Vice President of Programs has lined up some great speakers for our monthly meetings. Justin Hedric (justin.hedric@53.com), our Vice President of Professional Development is in the process of planning a spring seminar -stay tuned for more information.

This is the second year of our officer terms, and our chapter will be having elections for a new slate of officers. We will still have some of the same officers as we have had in the past, just in new roles. We will be looking for people who are interested in becoming involved in a leadership position within our chapter. If you are interested, please contact either me (rkrickisaca@gmail.com) or Tom Harrow (tharrow@opw-fc.com).

If you have an article to share, or would like to write an article, please send it to our newsletter editor, Buky Thorpe (buky.thorpe@gmail.com).

We always welcome new members. If you are interested in joining our organization or would like to learn more, please contact Mike Smith (mrsmith@gaic.com). We also welcome new and returning speakers for our monthly meetings. Please contact Jesse Hanford (jesse.hanford@convergys.com) if you are interested in speaking or would like to present IT Audit, Security, or Governance related topics.

Finally, please contact me (rkrickisaca@gmail.com) if you have any general questions concerning our chapter.

Russ Krick

Chapter President

The Evolution of IT Risk & Compliance

Speakers: Susan Hoffman, CISA & Rosalyn Ellis, CRISC, Great American Insurance Company

Date & Time: February 7, 2012, 5.30pm

Location: The Original Montgomery Inn, Montgomery, OH

Overview:

The Evolution of IT Risk & Compliance – With the onset of regulations impacting the Information Technology landscape, it has become important for IT organizations to stay in step with the compliance requirements. Learn how one company has addressed this need and the benefits it has realized.

About the Speakers:

Susan Hoffman, CISA and Rosalyn Ellis, CRISC were instrumental in establishing the IT Risk & Compliance Program for the IT Services organization within the Great American Insurance Company.

Please register by February 3.

Cincinnati ISACA members and non-members can register by using the [link](#) at the chapter website or by sending an email to isaca_meetings@yahoo.com if you prefer to pay at the door.

Upcoming Meeting

March 6- Cybercrimes briefing from the Regional Electronics and Computer Investigations Task Force (RECI).

Senior Information Technology Internal Auditor

Western & Southern Financial Group has an immediate opportunity for a Senior Information Technology Internal Auditor.

Summary of Responsibilities:

Performs and supervises risk-based internal audit activities for the Company and its subsidiaries. Performs risk-based integrated reviews of financial, operational, systems and management controls of the Company. Provides assistance to the external auditors and regulatory auditors while maintaining effective working relationships with business unit management. Provides consulting services to management. Serves as in-charge auditor on various audit projects. Works with minimal supervision and is responsible to make and established range of decisions, escalates to manager when necessary and updates manager on a regular basis.

Detailed Responsibilities:

- Performs and supervises risk-based integrated audit procedures on scheduled audit projects in accordance with approved risk-based integrated audit programs and in a timely, efficient, and professional manner.
- Demonstrates a working knowledge of internal control concepts for each audit project and provides timely information to the Director, Internal Audit during the audit project regarding weaknesses noted and recommendations for corrective action.
- For assigned audit projects, demonstrates the ability to clearly document and evaluate the internal controls present in the manual and automated systems being reviewed, identifying internal control strengths and weaknesses.
- Prepares and reviews audit work papers that accurately and sufficiently document audit tests performed, in accordance with departmental guidelines (i.e., Audit Procedures Manual (APM)).
- Drafts and reviews written audit reports which accurately describe results of tests performed, the nature of control weaknesses and exposures, expresses an opinion on the adequacy of internal controls and overall procedures and identifies practical recommendations for improvements.
- Completes the Internal Audit self-study program in order to gain advanced knowledge of internal control concepts, audit testing techniques, data processing knowledge, financial, systems and operational audit knowledge and human relations skills.
- Assists external auditors and regulatory auditors in the performance of scheduled audit activities.
- Assists in the performance of a periodic risk assessment of all Company functions in order to recommend appropriate audit projects as part of the audit planning process.
- Develops specifications for automated audit software working closely with Information Systems personnel to satisfy audit software needs.
- Provides internal controls consulting on significant Company initiatives to our customers as requested.
- Performs special reviews/projects as requested.
- Implements computer-assisted audit techniques and practices auditing by exception techniques to improve audit effectiveness and efficiency.
- Supervises work product of one or more internal auditors and one college intern on audit assignments.
- Performs other duties and projects as assigned by management.

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Senior Information Technology Internal Auditor....Continued from previous page

Western & Southern Financial Group has an immediate opportunity for a Senior Information Technology Internal Auditor.

Selection Criteria:

- Proven experience as an Auditor in a “Big Four” accounting firm or Fortune 500-type company with demonstrated knowledge of internal control concepts and risk-based integrated audit techniques.
- Thorough knowledge of accounting and risk-based integrated auditing.
- Proven ability to develop or adapt risk-based audit programs/procedures on an as-needed basis.
- Proven experience coordinating multiple projects/assignments (3 to 4) simultaneously and completing assigned tasks accurately and on a timely basis. Possesses a strong attention to detail with excellent organization skills. Solid project management with proven experience successfully planning, executing and maintaining a project from start to finish.
- Strong verbal and written communication skills with demonstrated success interpreting and communicating business needs between internal groups and external vendors in a clear, focused and concise manner. Will be expected to provide examples of written audit reports.
- Proven work experience directing others to initiate a recommended course of action to solve a problem or increase efficiency.

Qualifications:

- Bachelor’s degree in accounting, business administration, information systems, computer science or related field of study.
- Computer skills and knowledge of hardware & software required:
- Proficiency in the use of Microsoft Office (Word, Excel, Access, Visio).
- Significant experience auditing automated systems, including mainframes and LAN- and WAN-based applications.
- Significant experience with utilizing automated audit tools, including ACL, Easytrieve Plus, etc.
- Certifications & licenses (i.e. Series 6 & 63, CPA, etc.):
- Certification as a Certified Public Accountant (CPA), Certified Internal Auditor (CIA) or Certified Information Systems Auditor (CISA).
- Willingness to travel 10 to 15% annually.

To find out more or to apply for this position, please contact: Amy Gellen, Corporate Recruiter (amy.gellen@westernsouthernlife.com).

How to Earn and Report CPE

Did you know that ISACA certified members can earn more than 70 FREE CPEs per year!

ISACA certified members have several options to obtain their continuing professional education credits. Several of these choices are listed below with specific instructions.

Journal quizzes: Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

Monthly e-Symposia quizzes: Earn three CPEs for each of 12 [e-Symposia](#) per year. 36 FREE CPEs per year

Serving as an ISACA Volunteer: Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

Mentoring: Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

TOTAL Possible FREE CPEs for ISACA Certified Members: 72 FREE CPEs per year

How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click **SAVE** at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

Please don't hesitate to contact me if you have any content that you would like to be included in the newsletter.

Some examples of articles/content include:

1. Your most interesting audit/lessons learned from an audit
2. Your take and/or opinion on the latest PCAOB standard/update
3. Any topic you feel you can speak on (keeping your audience in mind)
4. Open positions at your organization that would be a good fit for ISACA members
5. Live or virtual opportunities to earn CPE credits.

Please email me at buky.thorpe@gmail.com with any article or content you would like to be included in the newsletter.

Thank you,
Buky Thorpe

About Our Chapter

The Greater Cincinnati ISACA Chapter is a not-for-profit professional association serving the information technology audit, security, and control community. We support the areas of Cincinnati & Dayton, Ohio and Northern Kentucky. The chapter consists of over 400 professionals that represent a cross-section of the public, private, and not-for-profit business sectors at all levels within those industries.

Purpose

To promote the education of individuals for the improvement and development of their capabilities relating to the auditing of and/or management consulting in the field of Information Technology audit and control.

Please visit the chapter website at www.isaca-cincinnati.org to learn more. Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn and Facebook groups!

Visit www.isaca.org to learn more about the organization.